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Docket No. 294888US0PCT

IAP6 Rec'd PCT/PTO 11 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Katsuhiko IKEDA, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/02038

GAU:

FILED: Herewith

EXAMINER:

FOR: CARBON FIBER PRECURSOR FIBER BUNDLE, PRODUCTION METHOD AND PRODUCTION DEVICE  
THEREFOR, AND CARBON FIBER AND PRODUCTION METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449<br>(Modified)  |                 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |   | ATTY DOCKET NO.<br>294888US0PCT |           | SERIAL NO.<br>New US PCT Application<br>Based on PCT/JP05/02038 |  |
|--|-----------------|--|---|---------------------------------|-----------|---|--|
| LIST OF REFERENCES CITED BY APPLICANT  |                 | APPLICANT<br>Katsuhiko IKEDA, et al.                       |   |                                 |           |   |  |
|  |                 | FILING DATE<br>Herewith                                    |   | GROUP                           |           |   |  |
| <b>U.S. PATENT DOCUMENTS</b>   |                 |  |   |                                 |           |   |  |
| EXAMINER INITIAL   | DOCUMENT NUMBER | DATE   | NAME  | CLASS                           | SUB CLASS | FILING DATE IF APPROPRIATE                                      |  |
| AA   |                 |  |   |                                 |           |   |  |
| AB   |                 |  |   |                                 |           |   |  |
| AC   |                 |  |   |                                 |           |   |  |
| AD   |                 |  |   |                                 |           |   |  |
| AC   |                 |  |   |                                 |           |   |  |
| AF   |                 |  |   |                                 |           |   |  |
| AC   |                 |  |   |                                 |           |   |  |
| AH   |                 |  |   |                                 |           |   |  |
| AI   |                 |  |   |                                 |           |   |  |
| AJ   |                 |  |   |                                 |           |   |  |
| AK   |                 |  |   |                                 |           |   |  |
| AL   |                 |  |   |                                 |           |   |  |
| AM   |                 |  |   |                                 |           |   |  |
| AN   |                 |  |   |                                 |           |   |  |
| <b>FOREIGN PATENT DOCUMENTS</b>  |                 |  |   |                                 |           |   |  |
|  | DOCUMENT NUMBER | DATE   | COUNTRY   | TRANSLATION                     |           |   |  |
| /AV/   | AO 2000 345435  | 12/12/2000   | JP (with English abstract and equivalent of US 6 187 434) | YES                             | NO        |   |  |
| /AV/   | AR 10 226918    | 08/25/1998   | JP (with English abstract and equivalent of US 6 485 595) | NO                              | NO        |   |  |
| /AV/   | AD 2001 355121  | 12/26/2001   | JP (with English abstract)                                | NO                              | NO        |   |  |
| /AV/   | AR 10 121325    | 05/12/1998   | JP (with English abstract and equivalent of US 6 294 252) | NO                              | NO        |   |  |
| /AV/   | AS 11 189913    | 07/13/1999   | JP (with English abstract)                                | NO                              | NO        |   |  |
| /AV/   | AT 2001 181925  | 07/03/2001   | JP (with English abstract)                                | NO                              | NO        |   |  |
| AU   |                 |  |   |                                 |           |   |  |
| AV   |                 |  |   |                                 |           |   |  |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |                 |  |   |                                 |           |   |  |
| AW   |                 |  |   |                                 |           |   |  |
| AX   |                 |  |   |                                 |           |   |  |
| AY   |                 |  |   |                                 |           |   |  |
| AZ   |                 |  |   |                                 |           |   |  |
| <input type="checkbox"/> Additional References sheet(s) attached   |                 |  |   |                                 |           |   |  |
| Examiner /Amy Vanatta/   |                 |  |   | Date Considered 03/18/2010      |           |   |  |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |  |   |                                 |           |   |  |

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New U.S. PCT Application Based on PCT/JP05/02038  
Katsuhiro IKEDA, et al.  
Docket No. 294888 US

STATEMENT OF RELEVANCY

- 1) References AO-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AS-AT are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.